



Audit and Risk Management Committee

Date: TUESDAY, 4 MARCH 2014
Time: 1.45pm
Venue: COMMITTEE ROOMS, 2ND FLOOR, WEST WING, GUILDHALL

Members: Alderman Nick Anstee
Nigel Challis
Revd Dr Martin Dudley
Jamie Ingham Clark
Oliver Lodge
Alderman Ian Luder
Jeremy Simons
Hilary Daniels (External Member)
Kenneth Ludlam (External Member)
Caroline Mawhood (External Member)
Roger Chadwick (Ex-Officio Member)
Jeremy Mayhew (Ex-Officio Member)
Hugh Morris (Ex-Officio Member)

Enquiries: Julie Mayer
tel. no.: 020 7332 1410
julie.mayer@cityoflondon.gov.uk

Lunch will be served in the Guildhall Club at 1pm

John Barradell
Town Clerk and Chief Executive

AGENDA

Part 1 - Public Agenda

1. **APOLOGIES**
2. **MEMBERS' DECLARATIONS UNDER THE CODE OF CONDUCT IN RESPECT OF ITEMS ON THE AGENDA**
3. **MINUTES OF THE PREVIOUS MEETING**
To agree the public minutes and non-public summary of the meeting held on 28 January 2014

For Decision
(Pages 1 - 10)
4. **OUTSTANDING ACTIONS OF THE COMMITTEE**
Report of the Town Clerk

For Information
(Pages 11 - 12)
5. **NEW STRATEGIC RISK SR17 - SAFEGUARDING**
Report of the Director of Community and Children's Services

For Decision
(Pages 13 - 24)
6. **STRATEGIC RISK 11 - FAILURE OF ANY DAMS UNDER THE OWNERSHIP OR MANAGEMENT OF THE CITY OF LONDON CORPORATION: HAMPSTEAD HEATH HYDROLOGY; HIGHAMS PARK LAKE**
Joint report of the City Surveyor and the Director of Open Spaces

For Information
(Pages 25 - 46)
7. **RISK MANAGEMENT UPDATE**
Report of the Chamberlain

For Decision
(Pages 47 - 78)
8. **2014/15 INTERNAL AUDIT PLANNING**
Report of the Chamberlain

For Decision
(Pages 79 - 98)
9. **INTERNAL AUDIT UPDATE REPORT**
Report of the Chamberlain

For Information
(Pages 99 - 114)

10. **INTERNAL AUDIT RECOMMENDATIONS FOLLOW UP REPORT**
Report of the Chamberlain
For Information
(Pages 115 - 126)
11. **INVESTIGATION UPDATE REPORT**
Report of the Chamberlain
For Information
(Pages 127 - 136)
12. **ANNUAL LETTER FROM DELOITTE ON THE CERTIFICATION OF GRANTS CLAIMS**
Report of the External Auditors
For Information
(Pages 137 - 144)
13. **ANNUAL GOVERNANCE STATEMENT - METHODOLOGY**
Joint report of the Town Clerk and the Chamberlain
For Decision
(Pages 145 - 162)
14. **OFFICER SCHEME OF DELEGATION**
Report of the Town Clerk
For Decision
(Pages 163 - 230)
15. **COMMITTEE WORK PROGRAMME**
Report of the Town Clerk
For Decision
(Pages 231 - 232)
16. **POSITION OF THE DEPUTY CHAIRMAN AND OTHER CONSTITUTIONAL ISSUES**
Report of the Town Clerk
For Decision
(Pages 233 - 236)
17. **QUESTIONS ON MATTERS RELATING TO THE WORK OF THE COMMITTEE**
18. **ANY OTHER BUSINESS WHICH THE CHAIRMAN CONSIDERS URGENT**
19. **EXCLUSION OF THE PUBLIC**

RESOLVED: That under Section 100(A) of the Local Government Act 1972, the public be excluded from the meeting for the following items on the grounds that they involve the likely disclosure of exempt information as defined in Part I of the Schedule 12A of the Local Government Act.

Part 2 - Non-Public Agenda

20. **STRATEGIC RISK 11 - FAILURE OF ANY DAMS UNDER THE OWNERSHIP OR MANAGEMENT OF THE CITY OF LONDON CORPORATION: HAMPSTEAD HEATH HYDROLOGY; HIGHAMS PARK LAKE**
Joint report of the City Surveyor and the Director of Open Spaces

For Information
(Pages 237 - 248)
21. **EAGLE POND RESERVOIR**
City Surveyor and Director of Open Spaces – *Members are asked please to consider this paper in conjunction with agenda items 6 and 20 - Strategic Risk 11*

For Decision
(Pages 249 - 254)
22. **PROJECT BE- TRANSFER OF ASSETS**
Report of the Chamberlain and the Comptroller and City Solicitor

For Information
(Pages 255 - 272)
23. **NON-PUBLIC QUESTIONS ON MATTERS RELATING TO THE WORK OF THE COMMITTEE**
24. **ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT AND WHICH THE COMMITTEE AGREE SHOULD BE CONSIDERED WHILST THE PUBLIC ARE EXCLUDED**